



Characteristics and Behavior of Microorganisms

- All raw foods normally contain microorganisms that will eventually cause spoilage unless they are controlled or destroyed
- Food preservation is a competition between the human species and microorganisms
 - We attempt to preserve the food that
 - microorganisms attempt to utilize



Characteristics and Behavior of Microorganisms

- The microorganisms of primary concern to the food processor are molds, yeasts, and bacteria
- They can grow in food or the processing environment under suitable conditions









- Spoilage causes changes in a food which make it:
 - >Unwholesome
 - ➤Unattractive
 - ≻Unsalable
 - May affect quality (BUT NOT NECESSARILY SAFETY)
- Illness is caused by pathogenic microorganisms and/or their toxic by products





- Ubiquitous in nature (most spoilage, some pathogens)
- Sources of fecal contamination (mostly pathogen
- Humans (poor hygiene practices)





Introduction to bacteria

- Microbes were mostly unknown until the late 1800s, because they are so small
- Most bacteria DO NOT cause food-borne infections!

Some Microorganisms Cause Disease

- The majority of laboratory-diagnosed cases of bacterial foodborne illnesses are caused by just a few microorganisms
 - Salmonella spp.
 - Campylobacter
 - Shigella spp.
 - Clostridium perfringens
 - Staphylococcus aureus











Logarithmic Review $10^{0} = 1$ $10^{1} = 10 = 1 \log$ $10^{2} = 100 = 2 \log$ $10^{3} = 1,000 = 3 \log$ $10^{4} = 10,000 = 4 \log$ $10^{5} = 100,000 = 5 \log$ $10^{6} = 1,000,000 = 6 \log$ Remember you can't subtract exponents























- Atmosphere (Aerobe vs. anaerobe)
- Temperature (mesophile vs. thermophile)
- Time
- pH (acid tolerant sporeforming spoilage organisms – not a LACF issue but may be a critical factor)
- aW (amount of available water, can be a critical factor but usually not an important factor in LACF spoilage and safety)







Fine If delays occur after 1) sealing before processing or 2) in cooling after processing: Growth of spoilage organisms or outgrowth of mesophilic or thermophilic sporeforming spoilage organisms may occur



Temperature & time abused AF spoilage concerns

- The aforementioned sporeforming thermophiles may grow in equipment that contacts food if the temperature is within their growth range
- Microorganisms that grow under these elevated temperatures create spores that are even more resistant to heat



- Some flat-sour facultative aerobes, such as *Bacillus stearothermophilus*, are thermophiles
- Proper cooling after thermal processing and avoiding high temperatures during storage are essential since the thermal process for acid food is not sufficient to destroy their spores



- Spoilage by the thermophilic anaerobe *Clostridium thermosaccharolyticum* has been seen in canned tomato products in the pH range 4.1 to 4.5
- The thermal process for acidified foods is not adequate to destroy the spores of the organism; however, the problem will not occur if the product is properly cooled and stored at temperatures below 95°F









pH Requirements

- All bacteria have a minimum below which they will not grow and a maximum above which they cannot grow
- The pH of foods can be adjusted to help control microbial growth
- The pH of a food is extremely important with respect to the control of *Clostridium botulinum*



Effect of pH on Required Heat Treatment

- The application of mild heat destroys all bacteria that are non-sporeformers or all vegetative cells in either low-acid or acid foods, including the vegetative cells of *C*. *botulinum*
- In low-acid foods, high heat must be applied to kill the spores of *C. botulinum* or the spores of other food spoilage organisms

Effect of pH on Required Heat Treatment

- Thus, these foods must be heat processed under pressure
- In acid foods, there is no concern with the spores of *C. botulinum*
- These spores are prevented from germinating and growing because the pH is 4.6 or below

Effect of pH on Required Heat Treatment

• Since only the vegetative cells must be destroyed in acid foods, boiling water cooks or hot-fill and hold procedures may be used

A		ate pH Rang ected Foods	le
Lemon Juice	2.0 - 2.6	Tuna	5.2 - 6.1
Apples	3.1 - 4.0	Sweet Potatoes	5.3 - 5.6
Blueberries	3.1 - 3.3	Onions	5.3 - 5.8
Sauerkraut	3.3 - 3.6	White Potatoes	5.4 - 5.9
Orange Juice	3.3 - 4.2	Spinach	5.5 - 6.8
Pineapple, canned	3.4 - 4.1	Beans	5.6 - 6.5
Apricots	3.3 - 4.0	Peas, canned	5.7 - 6.0
Tomatoes, canned	3.5 - 4.7	Corn, canned	5.9 - 6.5
Peaches, canned	3.7 - 4.2	Soy Beans	6.0 - 6.6
Pears, canned	4.0 - 4.1	Mushrooms	6.0 - 6.7
Bananas	4.5 - 5.2	Clams	6.0 - 7.1
Beets, canned	4.9 - 5.8	Salmon	6.1 - 6.3
Asparagus, canned	5.0 - 6.0	Coconut milk	6.1 - 7.0
Beef	5.1 - 7.0	Milk	6.4 - 6.8
Carrots	4.9 - 5.2	Garbanzo Beans	6.4 - 6.8
Peppers, green	5.2 - 5.9	Chicken	6.5 - 6.7
Papaya	5.2 - 6.0	Eggs, whole	7.1 - 7.9

- Acidified foods do not require a severe thermal process to assure product safety
- Therefore, a variety of spoilage-causing, acidtolerant sporeformers may survive the process
- A thermal process for acidified foods is designed to inactivate a certain level of these sporeformers



- The butyric acid producing anaerobes, such as *Clostridium butyricum* and *Clostridium pasteurianum*, are mesophilic sporeformers
- The spores are capable of germination and growth at pH values as low as 4.2-4.4 and consequently are of spoilage significance in acidified foods, particularly if the pH is above 4.2



- Aciduric flat-sours bacteria are facultative anaerobic sporeformers that seldom produce gas in spoiled products
- The ends of spoiled cans remain flat; hence the term "flat sour"
- Spoiled products have an off-flavor that has been described as "medicinal" or "phenolic"



- Pinpointing the ingredient that is contributing the most to the total spore load may prove beneficial in process control
- For example, proper handling of fruits and vegetables prior to use, such as washing and culling, may also help to reduce spore loads



- Alicyclobacillus spp., such as A. acidoterrestris and A. acidocaldarius, are flat-sour sporeformers that can grow at a pH as low as 3 in shelf stable juice and other beverage products
- Spoilage caused by *Alicyclobacillus* spores has been reported in a variety of juices and beverages (in particular apple juice products), especially when the product packaging allows oxygen transmission

- The spoilage can be minimized by multiple approaches
 - Treating a selected ingredient with an intensified thermal process (at temperatures above 212°F)
 - Product formulation
 - Limiting oxygen availability
 - Rapid cooling of finished products







• All of the substances dissolved in the water reduce the number of unattached water molecules



- Thus, if some ingredient such as sugar, salt, raisins, dried fruits, etc. – is added to food, it competes with the microorganism for available water
- The water-binding capacity of a particular ingredient influences the amount of water left for the growth of microorganism



Control of Bacteria by Water Activity

- Examples of foods preserved with this method are
 - Some cheese spreads
 - Peanut butter
 - Honey
 - Syrups
 - Jams and jellies
 - Canned breads
 - Confectionery preparations toppings

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• Liverwurst	0.96
Cheese Spread	0.95
• Caviar	0.92
• Fudge Sauce	0.83
• Semi-moist Pet Food	0.83
• Salami	0.82
• Soy Sauce	0.80
• Peanut Butter – 15% total moisture	0.70
• Dry Milk – 8% total moisture	0.70
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Control of Bacteria by Water Activity

- As far as *C. botulinum* is concerned, a water activity of 0.85 provides a large margin of safety
- Studies with this organism show that an accurate water activity of 0.93 plus a mild heat treatment will give commercial sterility

Regulatory Requirements Related to Water Activity

- Under the FDA regulation 21 CFR Part 113, a canned food with a water activity greater than 0.85 and a pH greater than 4.6 is considered a low-acid food, and its minimum heat process will have to be filed by the individual packer
- If reduced water activity is used as an adjunct to the process, the maximum water activity must also be specified

Regulatory Requirements Related to Water Activity

• If the pH of the product has been adjusted to 4.6 or less and the water activity is greater than 0.85, the product is covered by the acidified food regulation (21 *CFR* Part 114) and requires only enough heat to destroy vegetative bacterial cells

Regulatory Requirements Related to Water Activity

- Any non-meat containing food, regardless of the pH, with an water activity of 0.85 or less is not covered by the regulations for either the low-acid food (21 *CFR* Part 113) or the acidified food (21 *CFR* Part 114)
- However, these products are covered by FDA's Current Good Manufacturing Practices (CGMPs) regulation (21 *CFR* Part 110

Methods for Determining aw

- One commonly used method is an electric hygrometer with a sensor to measure equilibrium relative humidity (ERH)
- The instrument was actually devised by weathermen, and the sensors are the same as those used to measure relative humidity in air


Methods for Determining aw

• A single measurement of water activity on a food provides information as to which types of microorganisms are most likely to cause spoilage and how close the water activity is to the safety limits

Molds

- Molds are widely distributed in nature, both in the soil and in the dust carried by air
- Under suitable conditions of moisture, air and temperature, molds will grow on almost any food
- The black or green discoloration that appears on moldy bread is a common example of mold growth

Molds

- Molds are also able to survive on a wide variety of substances not normally thought suitable for supporting life
 - These include concentrated solutions of some acids
 - Water containing minute quantities of certain salts
 - Certain pastes used in labeling

Molds

- Mold spoilage of food in closed, processed containers is rare but not impossible
- Most molds have little heat resistance and cannot survive the thermal processes for low-acid canned foods
- Therefore, if present, it is the result of serious underprocessing or post-processing contamination
- Since molds need oxygen to grow, only slight growth can occur unless the food container has an opening to the outside environment





Yeasts

- Yeasts are widely found in nature and are particularly associated with liquid foods containing sugars and acids
- They are quite adaptive to adverse conditions such as acidity and dehydration
- Like molds, yeasts are more tolerant of cold than of heat

Yeasts

- Most yeast forms are destroyed on heating to 170°F
- Spoilage may result from the presence of yeast in canned food, but if this happens, severe underprocessing or leakage must be suspected
- Usually the growth of yeasts results in the production of alcohol and large amounts of carbon dioxide gas
- The gas will swell the container

Introduction to Acidified Foods

- The preservation of foods using acid is older than recorded history (ex. yogurt and sauerkraut)
- The naturally formed acid serves as a preservative for the food and extends its shelf-life, but the nutritional quality of the food is relatively unchanged

Introduction to Acidified Foods

- It is not necessary to allow foods to ferment in order to preserve them
- The same preservative effect can be achieved by adding acids, such as vinegar, to low-acid ingredients, such as vegetables
- These products are called acidified or acidified low-acid foods

Definition of Acidified Foods

- An "acidified food" is defined by FDA in 21 *CFR* 114.3 (b)
 - A low-acid food to which acid(s) or acid food(s) are added to produce a product that has a finished equilibrium pH of 4.6 or below and a water activity greater than 0.85
- Examples of acidified foods include:
 - Acidified artichoke hearts, bean salads, peppers or pimentos;
 - Marinated beets or mushrooms;
 - Fresh-pack pickles



Fermentation

 Anaerobic catabolism in which an organic compound serves as an electron donor and another serves as an electron acceptor with ATP being produced by substrate level phosphorylation



Summary

- Two primary types of fermentation organisms
 - Bacteria: Lactic acid bacteria-produce acids
 - Yeasts-produce ethanol
- Foods are fermented to
 - Preserve
 - Nutrition
 - Uniqueness
 - Sensory properties
 - Economics







Natural Fermentation

- Positives
 - Distinct flavors
 - Less expensive in the short term
- Problems
 - Inconsistent end product
 - Limited control of fermentation
 - Scale issues in some industries























General Guidelines

- Known organism (preferably LAB)
- Rapid and continuous pH reduction
 - below 4.6 in less than 24h



Miso

"Although it's technically done after two months, my ferment takes six months to hit its prime. The longer miso ferments the better it tastes, and as far as I know miso has an indefinite shelf life. I have no knowledge as to when my miso hits a 4.6 pH..... I really know nothing about the pH of miso."

